

# How to collapse a simplicial complex

Theory and practice

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Los Angeles Combinatorics and Complexity Seminar

December 1, 2020

# Fundamental questions about $X$

Is  $X$  trivial?

Compute invariants of  $X$

Is  $X$  the same as  $Y$ ?

And what is  $X$ , exactly?

*"Forty-two," said Deep Thought, with infinite majesty and calm.*

## If $X$ is a topological space...

Is  $X$  contractible?

What are the homology groups of  $X$ ?

Are  $X$  and  $Y$  homotopy equivalent?

And what is the homotopy type of  $X$ , exactly?

# Simplicial complexes

Let  $V$  be a (finite) set of *vertices*.

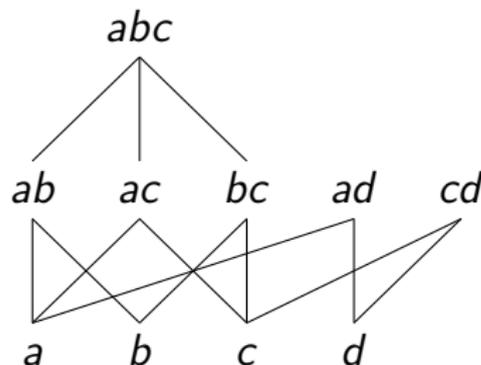
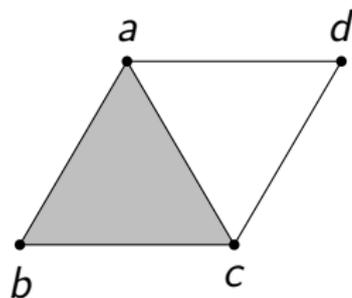
A *simplicial complex* is a collection  $X \subseteq 2^V \setminus \{\emptyset\}$  such that

$$\sigma \in X \text{ and } \emptyset \neq \tau \subseteq \sigma \implies \tau \in X.$$

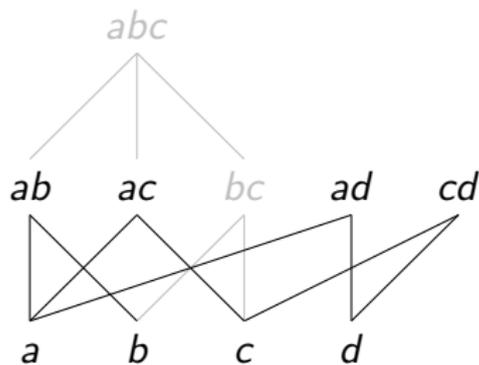
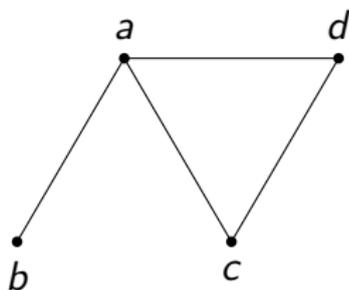
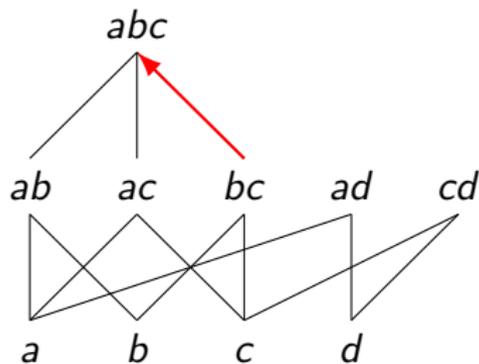
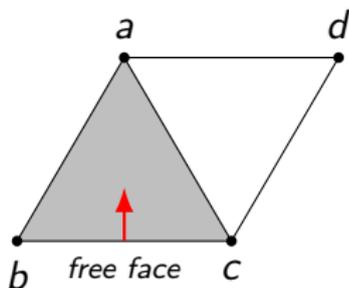
## Example

$$V = \{a, b, c, d\}$$

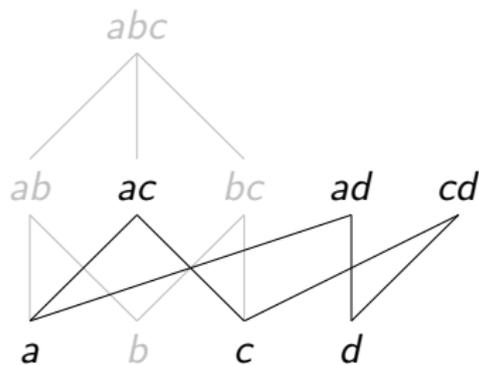
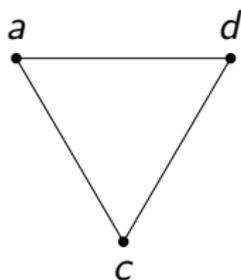
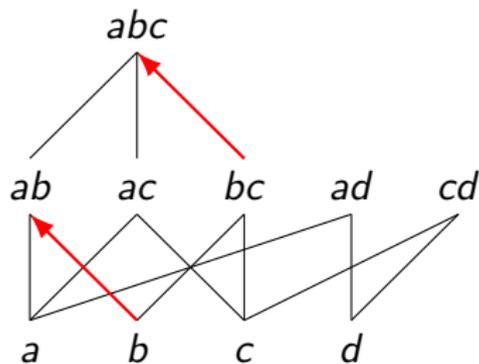
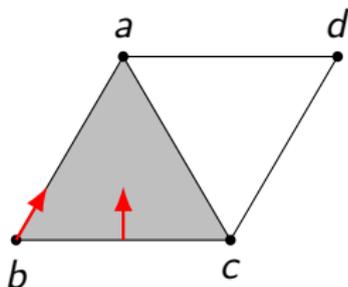
$$X = \{abc, ab, ac, ad, bc, cd, a, b, c, d\}$$



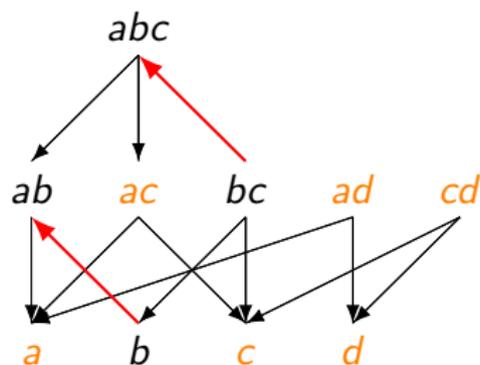
# Elementary collapse



# A sequence of elementary collapses



# Discrete Morse theory

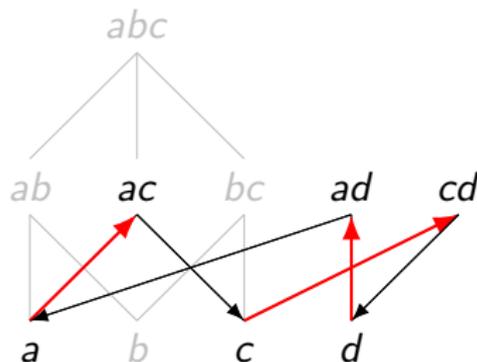
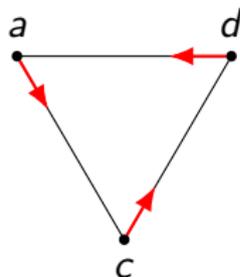


Main theorem of discrete Morse theory (Forman '98, Chari '00)

Let  $\mathcal{M}$  be an *acyclic* matching on the face poset of  $X$  such that the *critical* simplices form a subcomplex  $X^*$ .

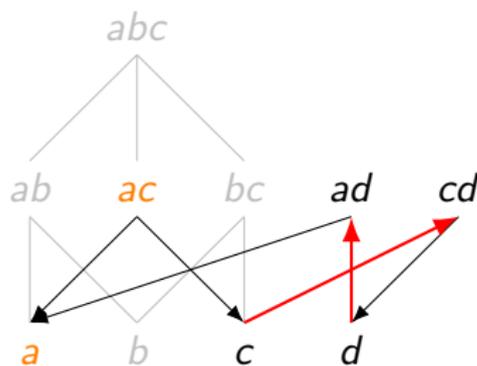
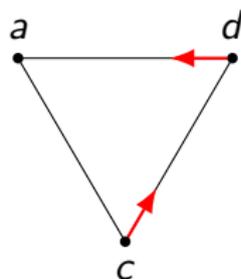
Then  $X$  deformation retracts onto  $X^*$  through a sequence of elementary collapses.

# A non-acyclic matching



A triangle does not deformation retract onto an empty complex.

## Collapsing further

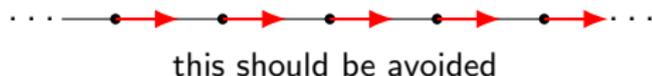


### Main theorem of discrete Morse theory (second version)

Let  $\mathcal{M}$  be an *acyclic* matching on the face poset of  $X$ . Then  $X$  is homotopy equivalent to  $X'$ , a CW complex with cells in bijection with the **critical simplices**.

## More versions of discrete Morse theory

- $X$  can be a CW complex.  
Elementary collapses are only allowed for *regular* faces.
- $X$  can be infinite (Batzies '02).  
An additional compactness condition is needed on the matching  $\mathcal{M}$ .



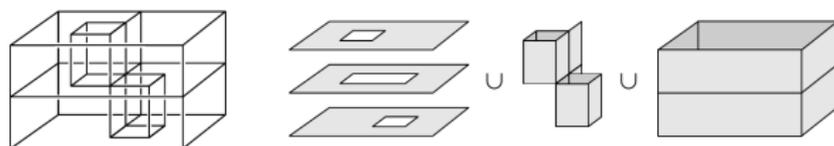
- $X$  can be an algebraic chain complex.
  - Free (Jöllenbeck-Welker '05, Kozlov '05, Sköldbberg '06)
  - Torsion (Salveti-Villa '13, P.-Salveti '18)

# Collapsibility

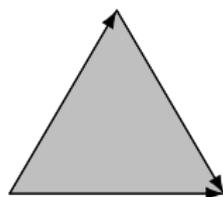
$X$  is *collapsible* if it admits a sequence of elementary collapses leaving a single vertex.

Equivalently: its face poset admits an acyclic matching with only one critical simplex (a vertex).

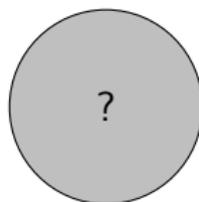
Collapsible implies contractible, but the converse is not true.



Bing's house with two rooms (image from Hatcher '01)



Zeeman's *dunce hat*



Non-collapsible 3-balls  
(Benedetti '12)

# Back to our questions about $X$

Is  $X$  contractible?

Check if  $X$  is collapsible

What are the homology groups of  $X$ ?

Use (algebraic) discrete Morse theory on the chain complex

Are  $X$  and  $Y$  homotopy equivalent?

If  $Y \subseteq X$ , check if  $X$  collapses onto  $Y$

And what is the homotopy type of  $X$ , exactly?

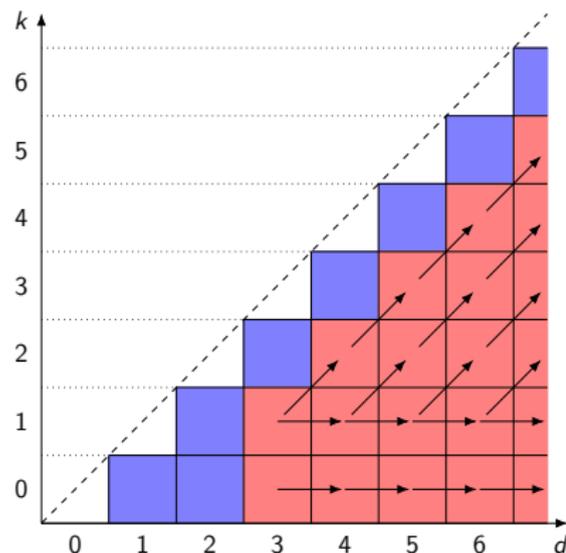
Find an optimal acyclic matching, and the Morse complex might be simple enough  
(e.g. a wedge of spheres)

# Algorithmic questions (and answers)

- Contractibility of a 4-dimensional simplicial complex is undecidable (Novikov). Open problem in dimensions 2 and 3.
  - 💡 Construct manifolds  $M$  such that  $M$  is a ball if and only if  $\pi_1(M)$  is trivial.
- Collapsibility of a 2-dimensional simplicial complex is solvable in linear time.
  - 💡 Collapse greedily. If you get stuck, the complex is not collapsible.
- Finding an optimal acyclic matching on a 2-dimensional simplicial complex is NP-hard (Egecioglu and Gonzalez '96).
  - 💡 Reduction from the vertex cover problem.
- Collapsibility of a 3-dimensional simplicial complex is NP-complete (Malgouyres-Francés '08, Tancer '16).
  - 💡 Reduction from 3-SAT. Gadgets are based on Bing's house.

# $(d, k)$ -collapsibility

$(d, k)$ -collapsibility: Determine whether a  $d$ -dimensional simplicial complex collapses onto a  $k$ -dimensional subcomplex.



linear-time solvable  
NP-complete

$(3, 1)$ -collapsibility is NP-complete (Malgouyres-Francés '08).

$(3, 0)$ -collapsibility is NP-complete (Tancer '16).

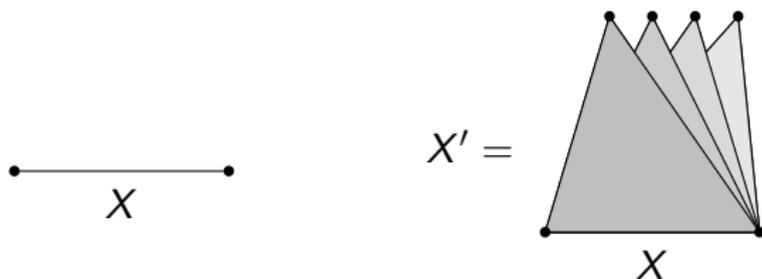
There is a polynomial reduction of  $(d, k)$ -collapsibility to  $(d+1, k+1)$ -collapsibility (P. '18).

Therefore, if  $d \geq k + 2$  and  $d \geq 3$ ,  $(d, k)$ -collapsibility is NP-complete.

## Reduction $(d, k) \rightarrow (d + 1, k + 1)$

Let  $X$  be an instance of  $(d, k)$ -collapsibility, i.e., a  $d$ -dimensional simplicial complex.

Construct  $X'$  by taking  $n + 1$  copies  $C_1, \dots, C_{n+1}$  of the cone over  $X$ , all glued together on the base  $X$ , where  $n = |X|$ .



- If  $X$  is  $(d, k)$ -collapsible, then collapse the cone  $C_1$  onto its apex and all other cones  $C_i \setminus X \cong X \cup \{\emptyset\}$  as  $X$ .
- If  $X'$  is  $(d + 1, k + 1)$ -collapsible, at least one  $C_i \setminus X$  has no simplices matched with a simplex of  $X$ . Then collapse  $X$  as  $C_i \setminus X$ .

# Thank you!

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